

## Lecture 5.

- Summary on  $\overline{M}_{g,n}$
- Intersection theory
- $\psi$ ,  $\kappa$  classes
- Boundary strata

### References:

- "ICM 2018 talk" by R. Pandharipande
- "Course on the moduli space of curves"  
by J. Schmitt

↳ links on our webpage

# §1. Crash course on $\overline{\mathcal{M}}_{g,n}$ .

Def  $(C, p_1, \dots, p_n)$  is a stable curve of genus  $g$  if

(i)  $C$  is connected, complete, nodal curve of arithmetic genus  $g$ .

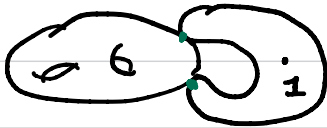
- nodal:  $x \in C$  is either a smooth pt or analytic locally near  $x$ ,  $\mathcal{O}_C \simeq \mathbb{C}[[u,v]]/(uv)$ .  $\hat{\mathcal{O}}_{C,x} \simeq \mathbb{C}[[u,v]]/(uv)$
- arithmetic genus =  $\dim H^1(\mathcal{O}_C)$

(ii)  $p_1, \dots, p_n$ : ordered  $n$  points on the smooth locus of  $C$ .

(iii)  $|\text{Aut}(C, p_1, \dots, p_n)| < \infty$ .

- $\Leftrightarrow$  topological data of each irreducible component.

Eg



stable bc  $g \geq 2$       stable bc  $\# \text{ special pts} \geq 3$



unstable component

$\overline{\mathcal{M}}_{g,n}(k) = \left\{ \begin{array}{l} \text{isomorphism class of stable curves} \\ (C, p_1, \dots, p_n) \end{array} \right\}$   
 as a set.

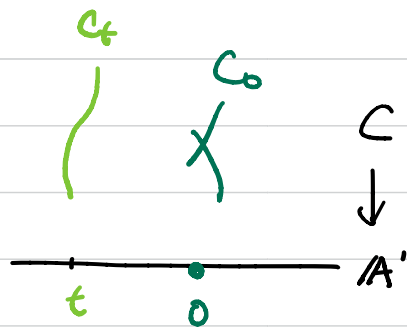
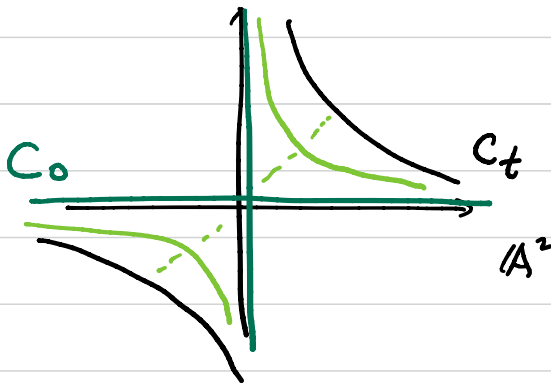
$\overline{M}_{g,m}$  is the solution to a moduli problem.

Q) How to vary a stable curve in a family?

Example (affine curve)

$$\text{Let } C = V(uv - t) \subset \mathbb{A}^3_{(u,v,t)}$$

$$\downarrow \\ \mathbb{A}^1_t$$



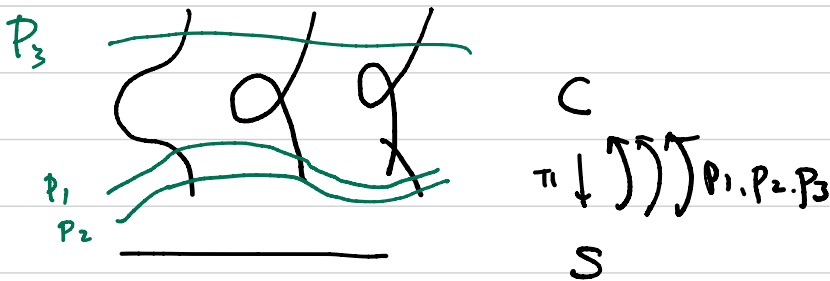
Key notion: Vary algebraic structure "continuously"  
= flatness.

Let  $S$  : scheme /  $\mathbb{C}$ .

Def  $\pi: C \rightarrow S$ ,  $p_1, \dots, p_n: S \rightarrow C$  is a family of stable curves if

(i)  $\pi$  is a surjective, proper, flat morphism s.t any geometric fiber is a stable curve

(ii)  $p_1, \dots, p_n$  : disjoint sections of  $\pi$  s.t image of  $p_i$  is in the smooth locus of  $\pi$ .



A relevant construction is the "forgetting morphism"

$$\pi: \overline{\mathcal{M}}_{g,n+1} \rightarrow \overline{\mathcal{M}}_{g,n}$$

$$(C, p_1, \dots, p_{n+1}) \mapsto (\tilde{C}, p_1, \dots, p_n)$$

$\tilde{C} = C$  if  $(C, p_1, \dots, p_n)$  is stable. otherwise we contract the unstable component.



Slogan: A family of a stable curve  $C \xrightarrow{\pi} S$

corresponds to a morphism  $S \rightarrow \overline{M}_{g,n}$  st

$$\begin{array}{ccc}
 C & \longrightarrow & \overline{M}_{g,n+1} \\
 \pi \downarrow & \square & \downarrow \pi \\
 S & \longrightarrow & \overline{M}_{g,n}
 \end{array}$$

Thm (Deligne - Mumford, Knutsen) Let  $2g - 2 + n > 0$ .

$\overline{M}_{g,n}$  is an irreducible, smooth, proper, DM-stack /  $\mathbb{C}$  of  $\dim = 3g - 3 + n$ .

space with group action.

## §2. Intersection theory on $\overline{M}_g$ .

$X, Y$  : topological spaces

### • Singular (co)homology theory

• Functoriality : for any  $f: X \rightarrow Y$

$$f_* : H_k(X) \rightarrow H_k(Y)$$

$$f^* : H^k(Y) \rightarrow H^k(X)$$

### • Cup product

$$H^i(X) \otimes H^j(X) \rightarrow H^{i+j}(X) \quad \alpha_1 \otimes \alpha_2 \mapsto \alpha_1 \cup \alpha_2$$

$\hookrightarrow$  ring structure.

### • Cap product

$$H^i(X) \otimes H_d(X) \rightarrow H_{d-i}(X) \quad \alpha \otimes \sigma \mapsto \alpha \cap \sigma$$

$f^*$  &  $f_*$  are related by the projection formula

$$f_* (f^* \alpha \cap \sigma) = \alpha \cap f_* \sigma, \quad \alpha \in H^k(Y) \\ \sigma \in H_d(X)$$

• Fundamental class If  $X$  is a compact, connected, oriented manifold,

$$H_{\dim_{\mathbb{R}} X}(X) \cong \mathbb{Q} \langle [X] \rangle$$

$\underbrace{\hspace{10em}}_{\text{Fundamental class}}$

st

$$\cap [X] : H^k(X) \xrightarrow{\cong} H_{\dim_{\mathbb{R}} X - k}(X)$$

"Poincaré duality"

## • Back to $\overline{\mathcal{M}}_{g,n}$

•  $\overline{\mathcal{M}}_{g,n}$  has a coarse moduli space

$$\pi: \overline{\mathcal{M}}_{g,n} \longrightarrow \overline{M}_{g,n} \quad \text{i.e.}$$

(i)  $\overline{M}_{g,n}$  is a proper, irreducible **scheme** /  $\mathbb{C}$   
of  $\dim_{\mathbb{C}} = 3g - 3 + n$

(ii)  $\pi$  induces a bijection of closed points.

(iii)  $\pi$  is proper.

⚡ Usually  $\overline{M}_{g,n}$  is not smooth /  $\mathbb{C}$ .

We define

$$\begin{aligned} H^*(\overline{\mathcal{M}}_{g,n}, \mathbb{Q}) &:= H^*(\overline{M}_{g,n}, \mathbb{Q}) \\ H_*(\overline{\mathcal{M}}_{g,n}, \mathbb{Q}) &:= H_*(\overline{M}_{g,n}, \mathbb{Q}) \end{aligned}$$

$\downarrow$   $\mathbb{C}$ -analytic topology

**Slogan** ( $C_0$ ) Homology theory of  $\overline{\mathcal{M}}_{g,n}$  behaves as if  $\overline{M}_{g,n}$  is a smooth compact  $\mathbb{C}$ -manifold.

Reference :

- Mumford, Towards an Enumerative Geometry of the Moduli Space of Curves.



## • Degree map

Def Let  $X$  be a compact topological space.

$$\text{deg}: H_0(X) \longrightarrow \mathbb{Q}$$

$$\sum a_i p_i \longmapsto \sum a_i$$

(isom if  $X$  is connected). Often we write  $\int_X -$ .

When  $X$ : Deligne-Mumford stack (such as  $\overline{\mathcal{M}}_{g,n}$ ) we have to adjust the degree map.

↑  
space with a group action

Ex  $\mu_n$  = cyclic group of order  $n$ .  $\curvearrowright$  pt trivially.

$$\text{Id}: * \xrightarrow{\quad} [*/\mu_n] \xrightarrow{\quad} *$$

↑  
deg =  $n$   
bc it is univ.  $\mu_n$ -torsor

↖  
deg =  $\frac{1}{n}$

For  $[c, p_1, \dots, p_n] \in \overline{\mathcal{M}}_{g,n}$ ,

$$\text{deg}[c, p_1, \dots, p_n] = \frac{1}{|\text{Aut}(c, p_1, \dots, p_n)|}$$



## Motivating Questions :

(1) What is  $H^*(\bar{\mathcal{M}}_{g,n})$  ?

(2) Can we relate enumerative questions on the geometry of curves to computations in  $H^*(\bar{\mathcal{M}}_{g,n})$  ?

(3) Can we understand "reasonable" subspace

$$RH^*(\bar{\mathcal{M}}_{g,n}) \subseteq H^*(\bar{\mathcal{M}}_{g,n})$$

so that we can do (1) & (2) ?

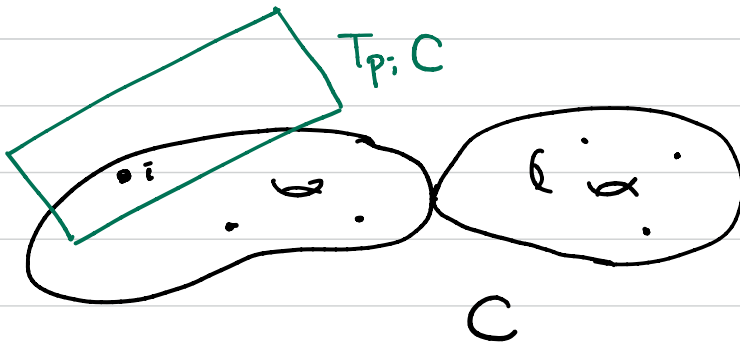
etc ...

Let's start from defining "natural classes" in  $H^*(\bar{\mathcal{M}}_{g,n})$

### §3. Line bundles on $\overline{\mathcal{M}}_{g,n}$ & $\Psi$ -K-classes.

- There exist a line bundle called the "i-th cotangent line bundle" on  $\overline{\mathcal{M}}_{g,n}$

$$\begin{array}{ccc} T_{p_i}^* C & = & \mathbb{L}_i \\ \downarrow & & \downarrow \\ [C, p_1 \dots p_n] & \in & \overline{\mathcal{M}}_{g,n} \quad 1 \leq i \leq n \end{array}$$



$$\psi_i = c_1(\mathbb{L}_i) \in H^2(\overline{\mathcal{M}}_{g,n}) \quad \text{"psi-class"}$$

-  $\pi : X \rightarrow Y$  : map between compact  $\mathbb{C}$ -manifolds.  
 $r = \dim_{\mathbb{C}} X - \dim_{\mathbb{C}} Y$ . Then

$$\begin{array}{ccc}
 H^i(X) & & H^{i-2r}(Y) \\
 \text{PD} \downarrow \cong & & \downarrow \cong \text{PD} \\
 H_{\dim X - i}(X) & \xrightarrow{\pi_*} & H_{\dim X - i}(Y)
 \end{array}$$

PD = Poincaré duality

$$\pi : \overline{\mathcal{M}}_{g, n+1} \rightarrow \overline{\mathcal{M}}_{g, n}$$

$$\kappa_a := \pi_* (\psi_{n+1}^{a+1}) \in H^{2a}(\overline{\mathcal{M}}_{g, n})$$

"Kappa class"

In general we can multiply  $\psi$  &  $\kappa$ -classes:

Eg.  $\psi_1^5 \psi_2^3 \kappa_1^2 \kappa_2 \in H^{24}(\overline{\mathcal{M}}_{15, 2})$

$$\begin{array}{cccc}
 5 & 3 & 2 & 2 \\
 + & + & + & + \\
 & 4 & & \\
 & 12 & & 
 \end{array}$$

## §4. Boundary strata

- "Boundary of  $\bar{M}_{g,n}$ " =  $\partial \bar{M}_{g,n} = \bar{M}_{g,n} \setminus \underline{M}_{g,n}$   
 locus where  $(c, p_1, \dots, p_n)$  is smooth  
 NOT a manifold with boundary.

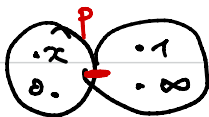
Slogan:  $\partial \bar{M}_{g,n}$  has a recursive structure  
 (smaller genus or number of markings)

Example  $\bar{M}_{0,3} = \text{pt.}$   $(\mathbb{P}^1, x_1, x_2, x_3) \xrightarrow{PGL_2} (\mathbb{P}^1, 0, 1, \infty)$

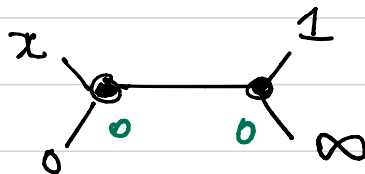
$$M_{0,4} = \text{circle with points } 1, x, 0, \infty = \mathbb{P}^1 \setminus \{0, 1, \infty\}$$

$$\bar{M}_{0,4} = \mathbb{P}^1$$

$$x \rightsquigarrow 0$$



$$\bar{M}_{0, \{0, x, p\}} \times \bar{M}_{0, \{p, 2, \infty\}}$$



Check:  $H^*(\bar{M}_{0,4}) = \mathbb{Q}[H]/(H^2)$ ,  $H = [\text{pt}]^\vee$

$$\Rightarrow \psi_1 = \psi_2 = \psi_3 = \psi_4 = H \in H^2(\bar{M}_{0,4})$$

- **Strata graph** ( $\Leftrightarrow$  dual graph of a stable curve)  
 $\hookrightarrow$  an organized way to describe  $\partial \overline{M}_{g,n}$

Def A stable graph of genus  $g$  with  $n$  markings is a data  $\Gamma = (V, H, L, g: V \rightarrow \mathbb{Z}_{\geq 0}, v: H \rightarrow V, \iota: H \rightarrow H, \ell: L \xrightarrow{1:1} \{1, \dots, n\})$

(i)  $V \leftarrow$  set of vertices.  $g(v)$  = "genus" at  $v$

(ii)  $H \leftarrow$  set of half-edges  $v(h)$  = "vertex incident to  $h$ "  
 $n(v)$  = # of incident half edges

(iii)  $\iota: H \rightarrow H$  involution.

- if  $\iota(h) = h \Rightarrow$  "leg"  $L = \{h \in H \mid \iota(h) = h\}$

- if  $\iota(h) = h', h' \neq h \Rightarrow e = (h, h') : \text{edge}.$

st

(a)  $\Gamma$  is a connected graph

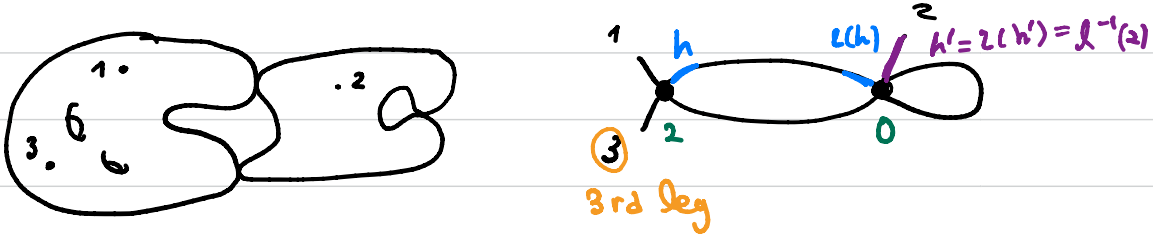
(b)  $\forall v \in V, 2g(v) - 2 + n(v) > 0.$

Vertex  $\longleftrightarrow$  irreducible component of  $C$

Edge  $\longleftrightarrow$  node of  $C.$

Leg  $\longleftrightarrow$  marked point of  $C$

## Example



$[C, p_1, p_2, p_3] \in \overline{\mathcal{M}}_{4,3} \rightsquigarrow \Gamma_C$  : "dual graph"

Let  $\mathcal{M}^\Gamma = \{[C, p_1, \dots, p_n] \mid \Gamma_C \cong \Gamma\} \subset \overline{\mathcal{M}}_{g,n}$  : Irreducible locally closed nonempty subset of  $\overline{\mathcal{M}}_{g,n}$ .

Check  $\dim \mathcal{M}^\Gamma = \dim \overline{\mathcal{M}}_{g,n} - |E(\Gamma)|$

Prop Let  $\Gamma$  : stable graph of genus  $g$ ,  $n$  markings.

Then there exist a morphism

$$\mathfrak{S}_\Gamma : \overline{\mathcal{M}}_\Gamma := \prod_{v \in V(\Gamma)} \overline{\mathcal{M}}_{g(v), n(v)} \longrightarrow \overline{\mathcal{M}}_{g,n}$$

sending

$$\left( C_v, (q_h)_{h \rightarrow v} \right)_{v \in V(\Gamma)} \longmapsto (C, p_1, \dots, p_n)$$

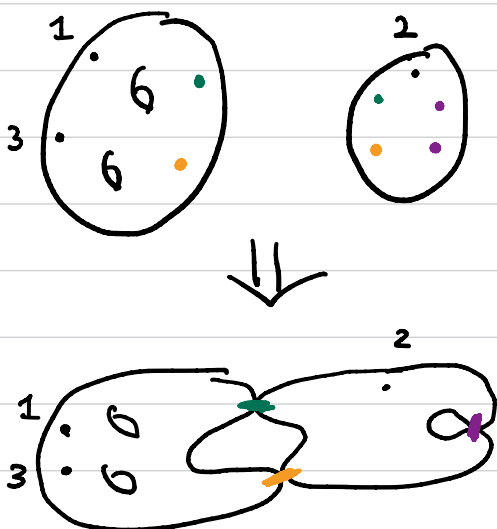
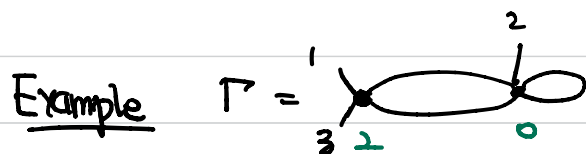
"gluing morphism"

by gluing pairs  $(q_h, q_{h'})$  according to  $\Gamma$ .

Moreover,

(a)  $\Sigma_\Gamma$  is finite and

(b)  $\Sigma_\Gamma(\bar{M}_\Gamma) = \underbrace{M^P}_{\text{closure in } \bar{M}_\Gamma} \subset \bar{M}_\Gamma$



$$\in \bar{M}_{2,4} \times \bar{M}_{0,5}$$

$$\downarrow \Sigma_\Gamma$$

$$\in \bar{M}_{4,3}$$

## • Tautological Classes

Now we can combine  $\psi, \kappa$  classes & boundary classes to produce "natural classes" in  $H^*(\overline{\mathcal{M}}_{g,n})$ .

$\Gamma$ : stable graph of genus  $g$ ,  $n$  markings

$\alpha \in \prod_{v \in V(\Gamma)} H^*(\overline{\mathcal{M}}_{g(v), n(v)})$  : product of  $\psi$  &  $\kappa$ -classes on each  $\overline{\mathcal{M}}_{g(v), n(v)}$ .

Def A **tautological class** associated to  $[\Gamma, \alpha]$  is a class defined by

$$\sum_{\Gamma^*} (\alpha) \in H^*(\overline{\mathcal{M}}_{g,n}).$$

Q) What is the product structure of  $H^*(\overline{\mathcal{M}}_{g,n})$ ?

$$\sum_{\Gamma_1^*} (\alpha_1) \cup \sum_{\Gamma_2^*} (\alpha_2)$$

Is it still a linear combination of tautological classes?

→ Yes. Computer program. (Johannes will continue)